

10/23/01

10525 U.S. PTO

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Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.:		1973.PSA
	First Inventor:		Dawn E. Smith
	Title:	Microsphere Adhesive Formulations	
	Express Mail Label No.:		EL569397355US

<p align="center">APPLICATION ELEMENTS</p> <p>See MPEP chapter 600 concerning utility patent application contents</p>	<p align="center">ADDRESS TO: Commissioner for Patents Box Patent Application Washington, DC 20231</p>																																										
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)] (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>18</u>] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>4. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u> </u>]</p> <p>5. <input type="checkbox"/> Oath or Declaration [Total Pages <u> </u>]</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Newly executed (original or copy)</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)</p> <p style="margin-left: 40px;">i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p style="margin-left: 20px;">b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> Statements verifying identity of above copies</p>																																										
<p>ACCOMPANYING APPLICATION PARTS</p>																																											
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other _____</p>																																											
<p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a Preliminary Amendment, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-Part (CIP) of prior application No. _____/_____</p> <p>Prior application information: Examiner _____ Group/Art Unit: _____</p> <p>For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an Oath or Declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying Continuation or Divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>																																											
<p>19. CORRESPONDENCE ADDRESS</p>																																											
<p><input type="checkbox"/> Customer Number or Bar Code Label _____ or <input checked="" type="checkbox"/> Correspondence address below</p> <p align="center">(Insert Customer No. or Attach bar code label here)</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15%;">Name</td> <td colspan="5">Cynthia L. Foulke</td> </tr> <tr> <td>Address</td> <td colspan="5">NATIONAL STARCH AND CHEMICAL COMPANY</td> </tr> <tr> <td></td> <td colspan="5">10 Finderne Avenue</td> </tr> <tr> <td>City</td> <td>Bridgewater</td> <td>State</td> <td>New Jersey</td> <td>Zip Code</td> <td>08807-0500</td> </tr> <tr> <td>Country</td> <td>U.S.A.</td> <td>Telephone:</td> <td>908-685-7483</td> <td>Fax:</td> <td>908-707-3706</td> </tr> <tr> <td>Name (Print/Type)</td> <td colspan="2">Cynthia L. Foulke</td> <td colspan="2">Registration No. (Attorney/Agent)</td> <td>32,364</td> </tr> <tr> <td>Signature</td> <td colspan="2">[Signature]</td> <td colspan="2">Date</td> <td>10/23/01</td> </tr> </table>		Name	Cynthia L. Foulke					Address	NATIONAL STARCH AND CHEMICAL COMPANY						10 Finderne Avenue					City	Bridgewater	State	New Jersey	Zip Code	08807-0500	Country	U.S.A.	Telephone:	908-685-7483	Fax:	908-707-3706	Name (Print/Type)	Cynthia L. Foulke		Registration No. (Attorney/Agent)		32,364	Signature	[Signature]		Date		10/23/01
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FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) **740.00**

Complete if Known

Application Number _____
 Filing Date **October 23, 2001**
 First Named Inventor **Dawn E. Smith**
 Examiner Name _____
 Group Art Unit _____
 Attorney Docket No. **I973.PSA**

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number **14-0455**
- Deposit Account Name _____
- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Code	Small Entity Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
101	201	355		Utility filing fee	740.00
106	206	160		Design filing fee	
107	207	245		Plant filing fee	
103	203	355		Reissue filing fee	
114	214	75		Provisional filing fee	

SUBTOTAL (1) (\$) **740.00****2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
14	10**	0	0
2	3**	0	0

Multiple Dependent _____

Large Entity Code	Small Entity Code	Fee (\$)	Fee (\$)	Fee Description
102	202	0	0	Claims in excess of 20
102	202	0	0	Independent claims in excess of 3
104	204	105	0	Multiple dependent claim, if not paid
109	209	40	0	** Reissue independent claims over original patent
110	210	0	0	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) **0**

*For number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Code	Small Entity Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
105	205	65		Surcharge - late filing fee or oath	
127	227	25		Surcharge - late provisional filing fee or cover sheet	
139	239	150		Non-English specification	
147	247	2,520		For filing a request for ex parte reexamination	
112	212	920*		Requesting publication of SiR prior to Examiner action	
113	213	1,340*		Requesting publication of SiR after Examiner action	
115	215	55		Extension for reply within first month	
116	216	195		Extension for reply within second month	
117	217	445		Extension for reply within third month	
118	218	695		Extension for reply within fourth month	
128	228	945		Extension for reply within fifth month	
119	219	155		Notice of Appeal	
120	220	155		Filing a brief in support of an appeal	
121	221	135		Request for oral hearing	
138	238	1,510		Petition to institute a public use proceeding	
140	240	55		Petition to revive - unavoidable	
141	241	520		Petition to revive - unintentional	
142	242	520		Utility issue fee (for reissue)	
143	243	220		Design issue fee	
144	244	300		Plant issue fee	
122	222	100		Petitions to the Commissioner	
123	223	50		Processing fee under 37 CFR 1.17(b)	
125	225	180		Submission of Information Disclosure Stmt	
531	531	40		Recording each patent assignment per property (times number of properties)	
145	245	155		Filing a submission after final rejection (37 CFR § 1.129(a))	
146	246	155		For each additional invention to be examined (37 CFR § 1.129(c))	
172	272	155		Request for Continued Examination (RCE)	
159	259	300		Request for expedited examination of a design application	

Other fee - specify: _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) _____

SUBMITTED BY

Name (Printed) **Cynthia L. Foulke** Registration No. **32,364** Telephone **908-685-7483**

Signature *Cynthia L. Foulke* Date **October 23, 2001**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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